# Auto-regressive generation

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### Generative models

- Two tasks of a generative model P(X)
  - Sampling:  $x \sim P(X)$
  - Density estimation: P(X = x)



#### Deep Network

# P(X)

#### Deep Network





### Generative modeling is hard

• Density estimation P(X = x)

How to ensure 
$$\sum_{x} P(x) = 1$$
 for all  $x$ 

- Impossible to compute (in general)
- Sampling  $x \sim P(X)$ 
  - What is the input to the network?



#### Deep Network

#### Deep Network





# Generative models Two kinds of models

Sampling based  $x \sim P(X)$ 

- Sample  $z \sim P(Z)$
- Learn transformation
  - $P(x \mid z)$  or  $f: z \to x$





### Density estimation based P(X)

- Learn special form of P(X)
- Model specific sampling / generation





# Recap

- VAE
  - Image -> latent space -> Image
  - Loss encourages Gaussian latent
- GAN
  - Gaussian -> Image
  - Loss compares distributions
- Flow-based
  - Gaussian  $\leftrightarrow$  Image
  - Requires Invertible architecture

#### Variational Auto Encoder (VAE)



#### Generative Adversarial Network (GAN)



#### Flow-based models





### Auto-regressive models

 $P(x) = P(x_1)P(x_2 | x_1)P(x_3 | x_1, x_2)P(x_4 | x_1...x_3)...$ 

- $P(x_i | x_1 \dots x_{i-1}) = \operatorname{softmax}(f(x_1 \dots x_{i-1}))$
- Basis of most LLM models
- Easy estimation of P(x)
- Easy sampling  $x_1 \sim P(X_1); x_2 \sim P(X_2 | x_1)$ 
  - Slow sampling

[1] WaveNet: A Generative Model for Raw Audio. Aaron van den Oord, et al. 2016 [2] Long Video Generation with Time-Agnostic VQGAN and Time-Sensitive Transformer. Songwei Ge, et al. 2022



### Example: WaveNet

- Input: Raw waveform  $\mathbf{X}_{1...t-1}$
- Output: Quantized next value  $\mathbf{x}_t \in \{1...256\}$

• Model: 
$$P(\mathbf{x}) = \prod_{t=1}^{T} P(x_t | \mathbf{x}_{1...t-1})$$

• Conditioned model:  $P(\mathbf{x} | \mathbf{h}) = \prod P(x_t | \mathbf{x}_{1...t-1} | \mathbf{h})$ t = 1

[1] WaveNet: A Generative Model for Raw Audio. Aaron van den Oord, et al. 2016



### Example: PixelCNN

- Input: Raw pixels  $\mathbf{X}_{1...t-1}$
- Output: Quantized next color value  $\mathbf{x}_t \in \{1...256\}$

• Model: 
$$P(\mathbf{x}) = \prod_{t=1}^{T} P(x_t | \mathbf{x}_{1...t-1})$$

• Conditioned model:  $P(\mathbf{x} | \mathbf{h}) = \prod P(x_t | \mathbf{x}_{1...t-1} | \mathbf{h})$ t = 1

[1] Conditional Image Generation with PixelCNN Decoders. Aaron van den Oord, et al. 2016



1	1	1	1	1
1	1	1	1	1
1	1	0	0	0
)	0	0	0	0
)	0	0	0	0
	1 1 1 )	1 1   1 1   1 1   0 0   0 0	1   1     1   1     1   1     1   1     1   0     0   0   0     0   0   0	11111111110000000000





African elephant

Coral Reef



Sandbar

Sorrel horse



### Auto-regressive models

### Issues

 $P(x) = P(x_1)P(x_2 | x_1)P(x_3 | x_1, x_2)P(x_4 | x_1...x_3)...$ 

- Difficult learning problem for long sequences (requires good model)
- Solution: Tokenization/Vector-Quantization (next class)
  - More complex  $x_i$
  - Shorter sequence

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### Generation vs Compression

- Knowing  $P(\mathbf{x})$  leads to best lossless compression within one bit
  - #bits =  $[-\log_2 P(\mathbf{x})] + 1$
- Why?

[1] Lossless Image Compression through Super-Resolution. Sheng Cao, et al. 2020 [2] Practical Full Resolution Learned Lossless Image Compression. Fabian Mentzer, et al. 2019

### Arithmetic coding $|-\log_2 P(\mathbf{x})| + 1$ bit lossless compression

- Sort **x** lexicographically
  - Compute CDF  $P(\mathbf{X} < \mathbf{x})$
  - Split interval between 0...1 into  $2^{\lfloor -\log_2 P(\mathbf{x}) \rfloor + 1}$ numbers

• Since  $2^{\lfloor -\log_2 P(\mathbf{x}) \rfloor + 1} > \frac{1}{P(\mathbf{x})}$ , at least one

number *n* will end in range

 $P(\mathbf{X} < \mathbf{x}) \dots P(\mathbf{X} \le \mathbf{x})$ 

• *n* is our  $|-\log_2 P(\mathbf{x})| + 1$  code

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### Arithmetic coding in practice

• CDF  $P(\mathbf{X} < \mathbf{x})$  generally hard to compute

• Easy for 
$$P(\mathbf{x}) = \prod_{t=1}^{T} P(x_t | \mathbf{x}_{1...t-1})$$

• 
$$P(\mathbf{X} \le \mathbf{x}) = \prod_{t=1}^{T} P(X_t \le x_t | \mathbf{x}_{1...t-1})$$

Leads to adaptive arithmetic coding

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- Songwei Ge, et al. 2022
- [3] Lossless Image Compression through Super-Resolution. Sheng Cao, et al. 2020
- 2019

• [2] Long Video Generation with Time-Agnostic VQGAN and Time-Sensitive Transformer.

• [4] Practical Full Resolution Learned Lossless Image Compression. Fabian Mentzer, et al.