# Normalizations

# Recap - A Simple Example

#### *n*-layer linear network

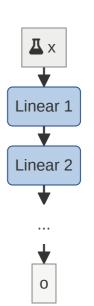
- No non-linearities
- Scalar weight  $w_i \in \mathbb{R}, w_i \geq 0$
- lacksquare Bias  $b_i \in \mathbb{R}$

Major problem: vanishing gradients

$$\|
abla_{W_i}y\| o 0$$
 for large  $n$ 

Inconvenience: vanishing activations

$$a_n = \prod_{k=1}^n W_k x + \underbrace{\cdots}_{ ext{bias}}$$



### Normalization

Rescale and shift activations

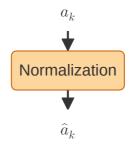
$$\hat{a}_k = rac{a_k - \mu_k}{\sigma_k}$$

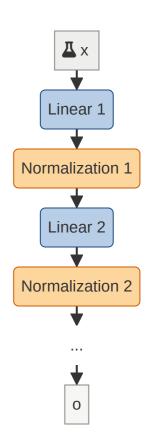
Exploding activation  $\|a_k\| o \infty$ :

$$\sigma_kpprox \|a_k\| o\infty$$
 and  $\|\hat{a}_k\|pprox 1$ 

Vanishing activation  $\|a_k\| o 0$ :

$$\sigma_kpprox \|a_k\| o 0$$
 and  $\|\hat{a}_k\|pprox 1$ 



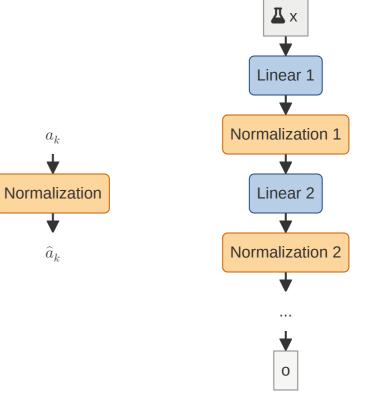


### Normalization

Rescale and shift activations

$$\hat{a}_k = rac{a_k - \mu_k}{\sigma_k}$$

Where do  $\mu_k$  and  $\sigma_k$  come from?

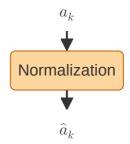


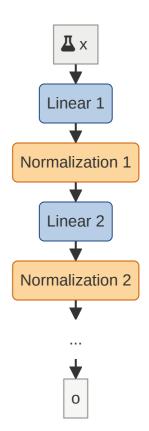
### **Batch Normalization**

Rescale and shift activations per channel

$$\hat{a}_k = rac{a_k - \mu_k}{\sigma_k}$$

Compute  $\mu_k$  and  $\sigma_k$  from training batch (on the fly)





### **Batch Normalization**

#### Rescale and shift activations *per channel*

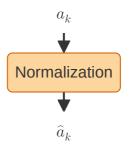
- lacksquare mean  $\mu_c$
- stdev  $\sigma_c$

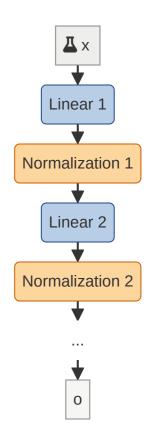
$$\hat{\mathbf{a}}_{b,x,y,c} = rac{\mathbf{a}_{b,x,y,c} - \mu_c}{\sigma_c}$$

where

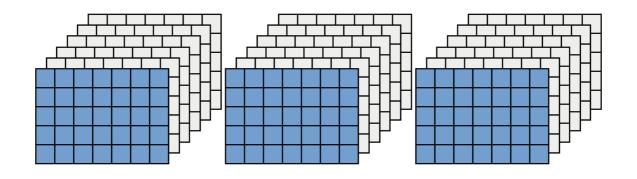
$$\mu_c = rac{1}{BWH} \sum_{k,x,y} \mathbf{a}_{b,x,y,c}$$

$$\sigma_c^2 = rac{1}{BWH}\sum_{k,x,y}(\mathbf{a}_{b,x,y,c} - \mu_c)^2$$





### What Does Batch Normalization Do?



#### The Good:

- Regularizes the network
- Handles badly scaled weights

#### The Bad:

Mixes gradient info between samples

#### In General:

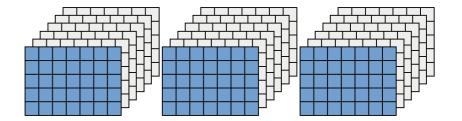
- Large batch sizes work better
- More stable mean and stdev estimates

### Batch Norm at Test Time

**Issue:** There is no batch at test time

**Solution:** Use training mean and stdev

- Keep track of mean and stdev during training
- Implemented via running averages

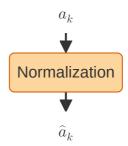


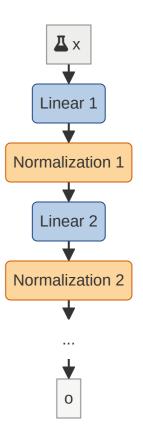
# Layer Normalization

Rescale and shift activations per feature

$$\hat{a}_k = rac{a_k - \mu_k}{\sigma_k}$$

Compute  $\mu_k$  and  $\sigma_k$  across each data element





# **Layer Normalization**

#### Rescale and shift activations per feature

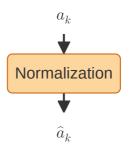
- lacksquare mean  $\mu_b$
- stdev  $\sigma_b$

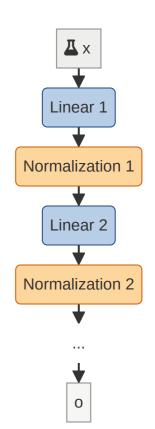
$$\hat{\mathbf{a}}_{b,x,y,c} = rac{\mathbf{a}_{b,x,y,c} - \mu_b}{\sigma_b}$$

where

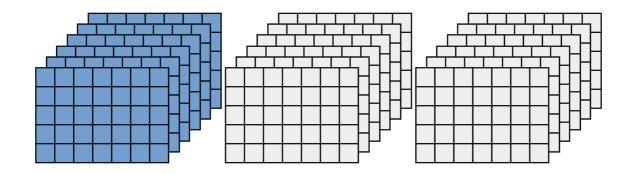
$$\mu_b = rac{1}{WHC} \sum_{x,y,c} \mathbf{a}_{b,x,y,c}$$

$$\sigma_b^2 = rac{1}{WHC} \sum_{x,y,c} (\mathbf{a}_{b,x,y,c} - \mu_b)^2$$





# What Does Layer Normalization Do?



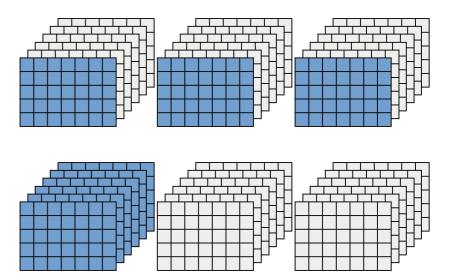
# Comparison to Batch Norm

#### No Summary Statistics

Training and testing are the same

#### In General:

- Works well for sequence models
- Does not normalize activations individually



# **Group Normalization**

#### Rescale and shift activations per group

- lacksquare mean  $\mu_{k,g}$
- stdev  $\sigma_{k,q}$

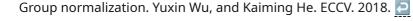
$$\mathbf{a}_{b,x,y,c} = rac{\mathbf{a}_{b,x,y,c} - \mu_{k,g}}{\sigma_{k,g}}$$

where

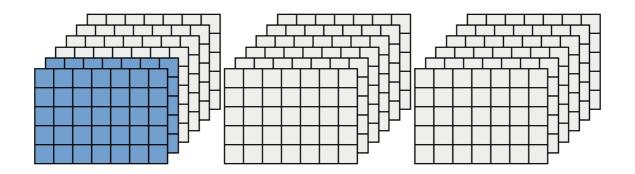
$$g = \lfloor c/G 
floor$$

$$\mu_{k,g} = rac{1}{WHG} \sum_{c=gG}^{(g+1)G-1} \sum_{x,y} \mathbf{a}_{b,x,y,c}$$

$$\sigma_{k,g}^2 = rac{1}{WHG} \sum_{c=gG}^{(g+1)G-1} \sum_{x,y} (\mathbf{a}_{b,x,y,c} - \mu_{k,g})^2$$



# What Does Group Normalization Do?



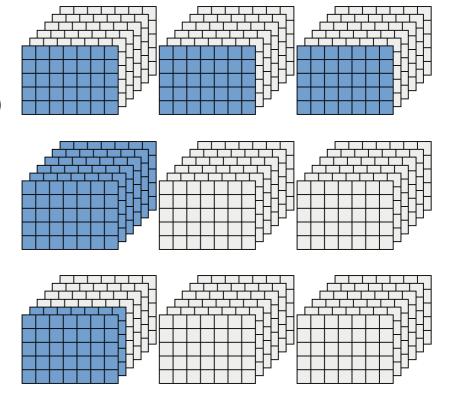
# **Group Normalization Comparison**

#### **Key Characteristics**

- Splits channels into groups
- Layer norm is a special case of group norm (G=1)

#### In Practice:

Common in UNet/diffusion architectures



# Local response normalization

"Generalization" of group norm

- Hyperparameters  $\alpha$  and  $\beta$
- $\mathbf{a} \in \mathbb{R}^{B imes W imes H imes C}$

$$\hat{\mathbf{a}}_{b,x,y,c} = \mathbf{a}_{b,x,y,c} (\gamma + rac{lpha}{n} \sum_{c'=c-n/2}^{c+n/2} \mathbf{a}_{b,x,y,c}^2)^{-eta}$$



### Differences Between LRN and GN



$$\mathbf{a}_{b,x,y,c} = rac{\mathbf{a}_{b,x,y,c} - \mu_{k,g}}{\sigma_{k,g}}$$

- Normalize over all spatial locations
- Subtract mean

#### **Local response normalization**

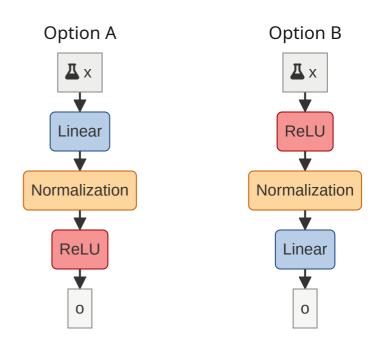
$$\hat{\mathbf{a}}_{b,x,y,c} = \mathbf{a}_{b,x,y,c} (\gamma + rac{lpha}{n} \sum_{c'=c-n/2}^{c+n/2} \mathbf{a}_{b,x,y,c}^2)^{-eta}$$

- More flexible parametrization
- Sliding window

### Where to Add Normalization?

Option A: after linear

Option B: after activation



# Option A: Post-Linear Normalization

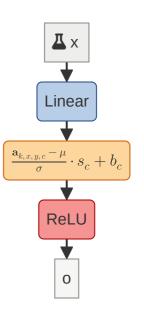
Output activation mean  $\hat{\mu}_k=0$ 

• No need for bias  $b_c$  in linear layer

**Issue**: about half activations negative

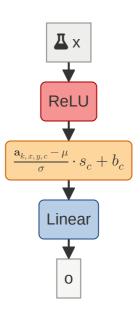
Zero-ed out by ReLU

**Solution**: learn a scale  $s_c$  and bias  $b_c$  after norm



# Option B: Post-Activation Normalization

Scale  $s_c$  and bias  $b_c$  optional



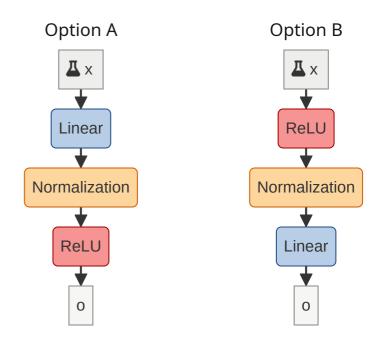
### Where to Add Normalization?

Both work

Option A: more popular

Option B: easier

- Scale and bias optional
- Layer unchanged

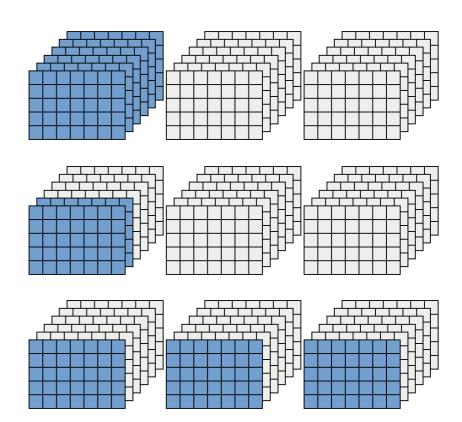


### What Normalization to Use?

1. Try LayerNorm

2. Try GroupNorm

- 3. Try BatchNorm
- Most suitable for image-like data
- Do **NOT** use on vanilla linear layers

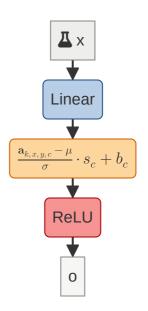


### Why Does Normalization Work?

Normalization fixes vanishing activations

- Handle badly scaled weights
- Activations cannot vanish (assumming  $b_c=0$ )
- "Eigenvalues" are close to 1

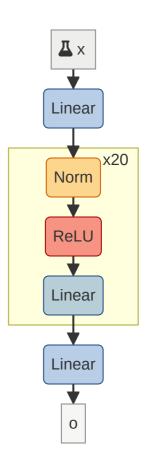
The same holds true for gradients  $\frac{1}{2}$ .



# How Deep Can These Networks Go?

#### With normalization

Max depth 20-30



# Normalization - TL;DR

Normalizations handle vanishing gradients